

Notice of Meeting

Audit & Governance Committee



SURREY
COUNTY COUNCIL

Date & time
Tuesday, 13 June
2017
at **10.00 am**

Place
Committee Room A,
County Hall

Contact
Angela Guest
Room 122, County Hall
Tel 020 8541 9075

Chief Executive
David McNulty

angela.guest@surreycc.gov
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We're on Twitter:
@SCCdemocracy

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This meeting will be held in public. If you would like to attend and you have any special requirements, please contact Angela Guest on 020 8541 9075.

Members

Mr David Harmer (Chairman), Mr Keith Witham (Vice-Chairman), Mr Edward Hawkins, Mr Ernest Mallett MBE, Dr Peter Szanto and Mrs Fiona White

Ex Officio:

Mr David Hodge CBE (Leader of the Council), Mr John Furey (Deputy Leader, Cabinet Member for Economic Prosperity), Mr Peter Martin and Mr Tony Samuels (Deputy Chairman)

AGENDA

1 APOLOGIES FOR ABSENCE AND SUBSTITUTIONS

2 MINUTES OF THE PREVIOUS MEETING [27 MARCH 2017]

(Pages 1
- 6)

To agree the minutes as a true record of the meeting.

3 DECLARATIONS OF INTEREST

All Members present are required to declare, at this point in the meeting or as soon as possible thereafter

- (i) Any disclosable pecuniary interests and / or
- (ii) Other interests arising under the Code of Conduct in respect of any item(s) of business being considered at this meeting

NOTES:

- Members are reminded that they must not participate in any item where they have a disclosable pecuniary interest
- As well as an interest of the Member, this includes any interest, of which the Member is aware, that relates to the Member's spouse or civil partner (or any person with whom the Member is living as a spouse or civil partner)
- Members with a significant personal interest may participate in the discussion and vote on that matter unless that interest could be reasonably regarded as prejudicial.

4 QUESTIONS AND PETITIONS

To receive any questions or petitions.

Notes:

1. The deadline for Member's questions is 12.00pm four working days before the meeting (*6 June 2017*).
2. The deadline for public questions is seven days before the meeting (*5 June 2017*).
3. The deadline for petitions was 14 days before the meeting, and no petitions have been received.

5 RECOMMENDATIONS TRACKER AND BULLETIN

(Pages 7
- 14)

To review the Committee's recommendations tracker and note the Information Bulletin.

6 COMPLETED INTERNAL AUDIT REPORTS

(Pages
15 - 38)

The purpose of this report is to inform Members of the Internal Audit reports that have been completed since the last meeting.

- 7 ANNUAL INTERNAL AUDIT REPORT** (Pages 39 - 88)
- This report summarises the work of Internal Audit for the period 1 April 2016 to 31 March 2017, identifying the main themes arising from the audit reviews and the implications for the County Council.
- 8 FULL YEAR SUMMARY OF INTERNAL AUDIT IRREGULARITY AND SPECIAL INVESTIGATIONS** (Pages 89 - 100)
- The purpose of this report is to inform members of the Audit and Governance Committee about irregularity investigations undertaken by Internal Audit in the period from 1 April 2016 to 31 March 2017.
- 9 ANNUAL RISK MANAGEMENT REPORT** (Pages 101 - 118)
- This report enables the committee to meet its responsibilities for monitoring the development and operation of the council's risk management arrangements. To include Leadership Risk Register.
- 10 GOVERNANCE STRATEGY AND CODE OF CORPORATE GOVERNANCE** (Pages 119 - 138)
- This report provides the committee with an update on the changes made to the council's Governance Strategy and Code of Corporate Governance.
- 11 ANNUAL GOVERNANCE STATEMENT** (Pages 139 - 152)
- This report presents the Annual Governance Statement, which provides an assessment of the council's governance arrangements for the financial year ending 31 March 2017.
- 12 COMMITTEE WORKPLAN** (Pages 153 - 160)
- Audit & Governance Committee workplan is attached for any comments, suggestions and noting.
- 13 DATE OF NEXT MEETING**
- The next meeting of Audit & Governance Committee will be on 27 July 2017.

David McNulty
Chief Executive
Published: 1 June 2017

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